

# Effects of post bonding annealing on GaAs//Si bonding interfaces and its application for sacrificial-layer-etching based multijunction solar cells

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## ABSTRACT

By using the sacrificial layer (SL) etching, GaAs substrates are separated from III-V epi substrate//Si substrate junctions that are made by surface activated bonding (SAB) technologies. The post-bonding low-temperature (300-°C) annealing plays an essential role in achieving a promising (~90%) bonding yield. The effects of the post-bonding annealing are investigated by hard X-ray photoemission spectroscopy and current-voltage measurements of GaAs//Si bonding interfaces. It is found that the concentration of oxygen atoms at interfaces is reduced and the resistance decreases to 1.6-2.1 mΩcm<sup>2</sup> by the low-temperature annealing. Aluminum fluoride complexes are not observed by X-ray photoelectron spectroscopy on the exposed surfaces of separated GaAs substrates. The roughness average of the surfaces is ≈0.25-0.30 nm. The characteristics of double junction cells fabricated on the GaAs//Si junctions prepared by the SL etching are almost the same as those of cells fabricated by dissolving GaAs substrates after bonding. These results indicate that multijunction cells could be fabricated in a process sequence compatible with reuse of GaAs substrates by combining the SL etching and SAB.

## 1. Introduction

Multijunction (MJ) cells composed by stacking subcells with different bandgaps [1–19] are the most promising for realizing photovoltaics with high conversion efficiencies [20]. In most cases, subcells at the upper part with wider bandgaps are made of group-III arsenide or phosphide (III-V) compound semiconductors (CSs). Narrower-bandgap III-V or group IV semiconductors such as Ge and Si are used for the lower-part or bottom subcells. MJ cells with various combinations of subcells such as InGaP/(In)GaAs/Ge [2], InGaP/GaAs/InGaAs [4], InGaP/(Al)GaAs/Si [7, 11, 12, 14, 16, 17, 19], and In(Al)GaP/GaAs/InGaAsP/InGaAs [8, 13], were fabricated and excellent characteristics were demonstrated.

In a previous research of MJ cells, the entire subcell stacks were fabricated using the epitaxial growth [1, 2, 4, 5]. We have to note, however, that the epitaxial growth is in general quite difficult because of the difference in crystal symmetries, lattice constants and/or thermal expansion coefficients among subcells [21]. Instead of the epitaxial growth, several types of wafer bonding technologies such as conventional direct bonding in the atmosphere [3, 6] and smart stack approach

using Pd nanoparticles [16, 17, 19] have been used. The surface-activated bonding (SAB) [22], in which the substrates are directly bonded in vacuum just after activating their surfaces, have widely been used for bonding subcell layers [7–10, 12–14, 18].

We previously reported that interfacial layers formed at bonding interfaces caused a parasitic series resistance [23]. The resistance was, however, lowered below acceptable levels by heating junctions during or after bonding at 400 °C or higher temperatures [3, 23, 24]. It was reported that the characteristics of GaAs cells bonded to Si substrates almost agreed with those of as-grown GaAs cells [25], which suggested that possible influence due to the wafer bonding was negligibly small.

In a conventional and simple version of the aforementioned wafer-bonding based, or hybrid, approaches, CS substrates hosting the growth of the upper subcells, typically GaAs substrates, are dissolved after bonding the upper subcells to the lower subcells [10, 12]. It is notable that the fabrication cost of MJ cells could be drastically reduced if the reuse of CS substrates is possible [15].

The epitaxial lift-off (ELO) technologies have been applied for the reuse of CS substrates [26–32]. In a typical ELO process for solar cells, thick supporting layers such as back metals are first formed on surfaces of III-V cell layers. Then the cell layers are stripped from the GaAs substrates by selectively etching the sacrificial layers (SLs) sandwiched between cell layers

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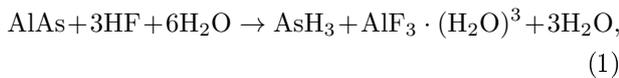
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1 and substrates. The separated GaAs substrates are  
 2 reused for another epitaxial growth. Stripped cell lay-  
 3 ers are mounted on metal plates [26], plastic films [31],  
 4 or other temporal substrates [32] via metal layers so  
 5 that thin film solar cells are fabricated. Low-cost hy-  
 6 brid MJs might be realized by growing subcell layers  
 7 on thin GaAs films transferred to Si [33]. High-density  
 8 cracks, however, were apparent on surfaces of GaAs cell  
 9 structures grown in this scheme [34], which were likely  
 10 to limit cell yield. It is assumed, consequently, that  
 11 a practical solution is given by growing subcell struc-  
 12 tures on GaAs substrates, directly bonding them to Si  
 13 bottom cells, then separating the GaAs substrates by  
 14 etching SLs.

15 In typical ELO processes, AlAs and hydrofluoric  
 16 (HF) solution are used as SL and its etchant, respec-  
 17 tively. In the etching process of AlAs, it was reported  
 18 that an aluminum fluoride complex with low solubility  
 19  $\text{AlF}_3 \cdot 3\text{H}_2\text{O}$  is formed by the following chemical reac-  
 20 tion:



21 and prohibits the progress of etching as residues de-  
 22 posited on the etch front [28, 29]. A weight induced  
 23 ELO (WIELO), in which a force is applied normal to  
 24 SL, has been developed so as to minimize effects of  
 25 the residues and quickly strip the epi layers [35, 36].  
 26 In case of III-V//Si junctions, the bonding interfaces  
 27 are assumed to be placed in the HF-based etchant for  
 28 longer ( $\sim 10^{1-2}$  hours) periods in comparison with the  
 29 WIELO process. This means that a higher tolerance  
 30 against HF etchant is required for the bonding inter-  
 31 faces.

32 In this work, we investigate the validity of the com-  
 33 bination of SAB and subsequent SL etching in fabricat-  
 34 ing III-V//Si hybrid junctions and MJ cells. Effects of  
 35 low-temperature ( $\leq 300^\circ\text{C}$ ) post-bonding annealing on  
 36 the bonding yield and the properties of bonding inter-  
 37 faces are highlighted. The properties of exposed sur-  
 38 faces of separated GaAs substrates as well as perfor-  
 39 mances of GaAs//Si 2J cells [37] are also discussed.

## 40 2. Methods

41 All of the bonding specimens employed in the work  
 42 were prepared using conventional SAB technologies with  
 43 a fast atom beam (FAB) of Ar. Substrates were not  
 44 heated in the bonding process. The bonding paramet-  
 45 ers such as the background pressure, acceleration volt-  
 46 age of FAB, and load were the same as those previously  
 47 applied for fabricating III-V//Si junctions [10, 12].

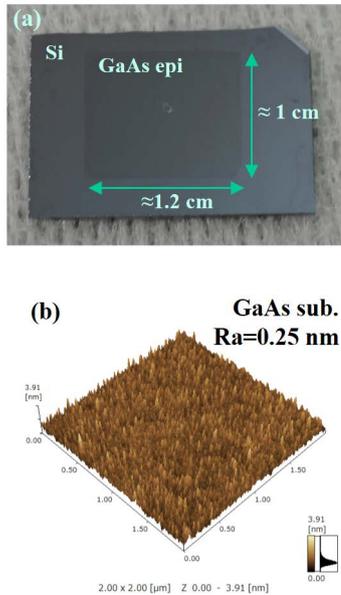
48 In a preparatory study, we prepared a 200-nm  
 49 GaAs/20-nm AlAs heterostructure on a GaAs (100)  
 50 substrate by metal organic vapor phase epitaxy. The

1 GaAs/AlAs heterostructure was diced into 1 cm by  
 2 1.2 cm dies and bonded to Si (100) substrates. Af-  
 3 ter annealing at  $300^\circ\text{C}$ , the junctions were placed in a  
 4 7.7% HF solution. The duration required for separat-  
 5 ing GaAs substrates was typically  $\sim 30$  hours. Follow-  
 6 ing the concept of WIELO, we applied a force (2N) to  
 7 the 1-cm wide edge of the GaAs substrate of junctions  
 8 during this period. Note that the direction of the force  
 9 was parallel to the bonding interface in this case. The  
 10 exposed surfaces of separated GaAs substrate were rou-  
 11 tinely rinsed with an organic alkaline solution and char-  
 12 acterized using atomic force microscope (AFM) and X-  
 13 ray photoelectron spectroscopy (XPS).

14 We fabricated thin GaAs film//Si junctions by grind-  
 15 ing and etching bonded GaAs substrates. Thickness of  
 16 GaAs films  $d_{\text{GaAs}}$  was  $\approx 15$  nm. The junctions were an-  
 17 nealed at  $300^\circ\text{C}$  before grinding. Effects of the anneal-  
 18 ing on the chemical properties of GaAs//Si interfaces  
 19 were examined by means of the angle-resolved hard X-  
 20 ray photoemission spectroscopy (HAXPES) at BL47XU  
 21 facilities in SPring-8 [38, 39]. The energy of incident  
 22 X-ray photons was 7940 eV. We focused on Ga  $2p_{3/2}$   
 23 core spectra. The measurement was performed for the  
 24 take-off angle  $\theta$  between  $4$  and  $76^\circ$  with a  $6^\circ$  step.

25 We also fabricated an  $n^+$ -GaAs// $n^+$ -Si junction by  
 26 bonding an  $n^+$ -GaAs epi layer grown on an  $n$ -GaAs  
 27 (100) substrate to an  $n^+$ -Si (100) substrate. The donor  
 28 concentration of the  $n^+$ -GaAs layer and the  $n^+$ -Si sub-  
 29 strate were  $\sim 10^{19} \text{ cm}^{-3}$  and  $\sim 10^{20} \text{ cm}^{-3}$ , respectively.  
 30 Before bonding, ohmic contacts had been formed on  
 31 backsides of respective substrates by evaporating  
 32 AuGe/Ni/Ti/Au ( $n$ -GaAs) and Ti/Au ( $n^+$ -Si) and an-  
 33 nealing at  $400^\circ\text{C}$ . After bonding, we annealed the junc-  
 34 tions at different temperatures up to  $300^\circ\text{C}$ . We mea-  
 35 sured their junction resistance and examined the effects  
 36 of low temperature annealing on electrical properties of  
 37  $n^+$ -GaAs// $n^+$ -Si bonding interfaces.

38 In fabricating solar cells, we first prepared an  $n$ -on- $p$   
 39 GaAs 1J structure on a GaAs (100) substrate. The 1J  
 40 structure was composed of AlAs SL,  $n$ -InGaP etch stop-  
 41 per layer,  $n^+$ -GaAs contact layer,  $n$ -doped phosphide  
 42 window/emitter layer,  $p$ -GaAs base,  $p$ -doped back sur-  
 43 face field layer, and  $p^+$ -GaAs/ $n^+$ -GaAs tunnel junc-  
 44 tion. Note that the 1J structure was grown in the in-  
 45 verse direction. The GaAs 1J epi substrate was bonded  
 46 to an  $n$ -on- $p$  Si 1J substrate, which had been prepared  
 47 by implantation of P and B ions to a  $p^-$ -Si (100) sub-  
 48 strate and annealing for activation. Using the  $300^\circ\text{C}$   
 49 annealing and subsequent SL etching, the GaAs sub-  
 50 strate was separated. 2J cells were fabricated by form-  
 51 ing contacts, performing the mesa etching, and selec-  
 52 tively etching the  $n^+$ -GaAs contact layer. The emitter  
 53 contacts were prepared by evaporating AuGe/Ni/Ti/Au  
 54 on the  $n^+$ -GaAs contact layer. The base contacts were  
 55 formed by evaporating Al/Ni/Au on the backside of  $p$ -  
 56 Si substrates. It was notable that anti-reflection coat-  
 57 ing was not employed since our purpose was to examine



**Figure 1:** (a) A top view of GaAs epi//Si junction obtained by etching the SL after a post-bonding annealing at 300 °C for 1 hour. (b) An AFM image of the surface of GaAs substrate exposed by etching the AlAs SL in the GaAs//Si junction annealed for 1 hour.

the validity of SL etching in fabricating hybrid MJ cells in the simplest manners. We also made GaAs//Si 2J cells by grinding and dissolving the GaAs substrate after bonding the GaAs 1J epi substrate for comparison. We measured the current-voltage ( $I$ - $V$ ) characteristics under the air mass (AM) 1.5G/one sun solar irradiance and in the dark as well as the spectral response of the respective cells using in-house facilities. The error in measurements was  $\sim 5\%$ .

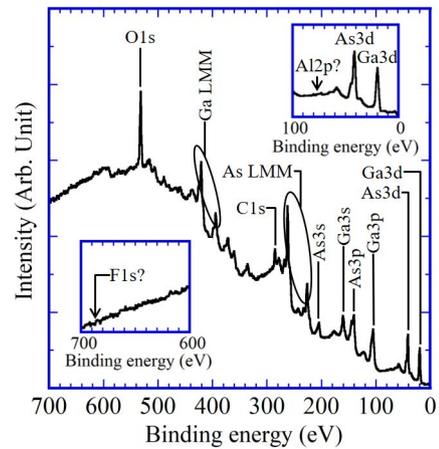
### 3. Results

#### 3.1. Separation of GaAs substrate from III-V//Si junction

A top view of the GaAs epi layer bonded to Si made of the junction annealed for 1 hour is shown in Fig. 1(a). An  $\sim 90\%$  of the GaAs epi layer was successfully bonded to the Si substrate. In contrast, in case of the GaAs//Si junction annealed for 1 min., a  $\sim 20$ - $40\%$  of GaAs epi layer remained bonded to Si after separating the GaAs substrate. No epi layer was left bonded to Si after the SL etching when the junction was not annealed (not shown). These results indicate that a post-bonding annealing for a long period ( $\sim 1$  hour) is useful in separating GaAs substrates by etching the SLs.

An AFM image of the exposed surface of separated GaAs substrate is shown in Fig. 1(b). Its roughness average (Ra) was 0.25 nm. It is notable that the Ra of the surface of GaAs substrate is close to a typical Ra of epi-ready GaAs substrates ( $\sim 0.3$ - $0.4$  nm).

XPS spectra of the exposed surface of GaAs sub-



**Figure 2:** XPS spectra of the exposed surface of separated GaAs substrate. Spectra for binding energy between 0 and 100 eV and for binding energy between 600 and 700 eV are shown in insets.

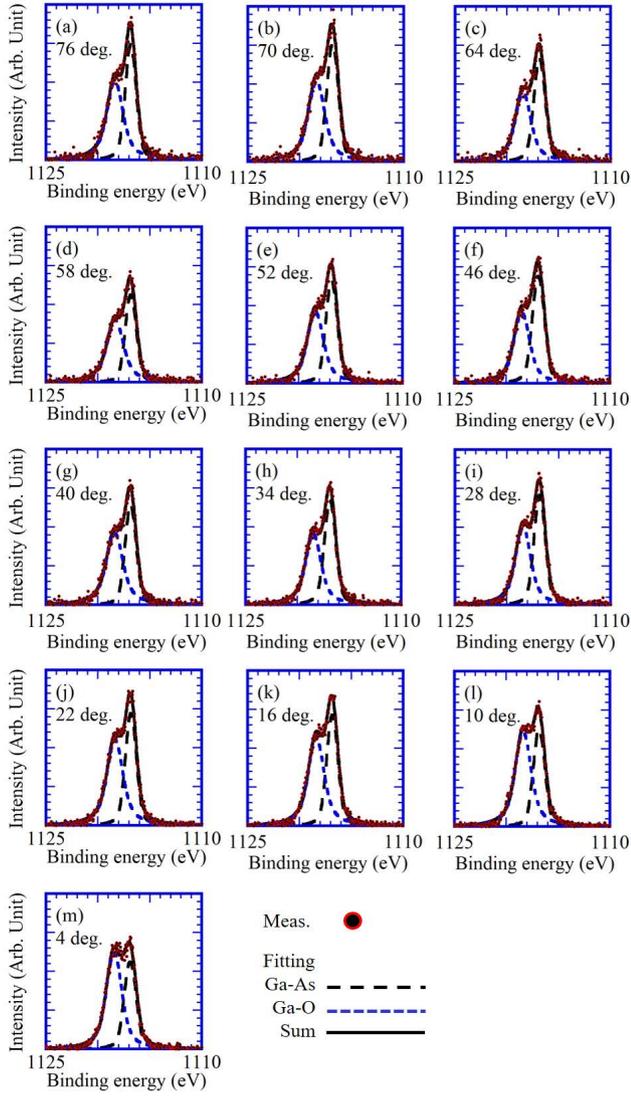
strate are shown in Fig. 2. Spectra for binding energy between 0 and 100 eV and for binding energy between 600 and 700 eV are shown in insets. Peaks due to Ga, As, O, C are apparent. However no signals due to aluminum fluoride complexes, which could appear at  $\approx 76.9$ - $77.1$  and  $\approx 686.3$ - $687.8$  eV for Al (Al 2p) and F (F 1s) species, respectively [40], are observed. This finding means that a measurable amount of insoluble  $\text{AlF}_3$  deposits was not adhered to the exposed surface.

#### 3.2. Effects of post-bonding annealing on GaAs//Si interfaces

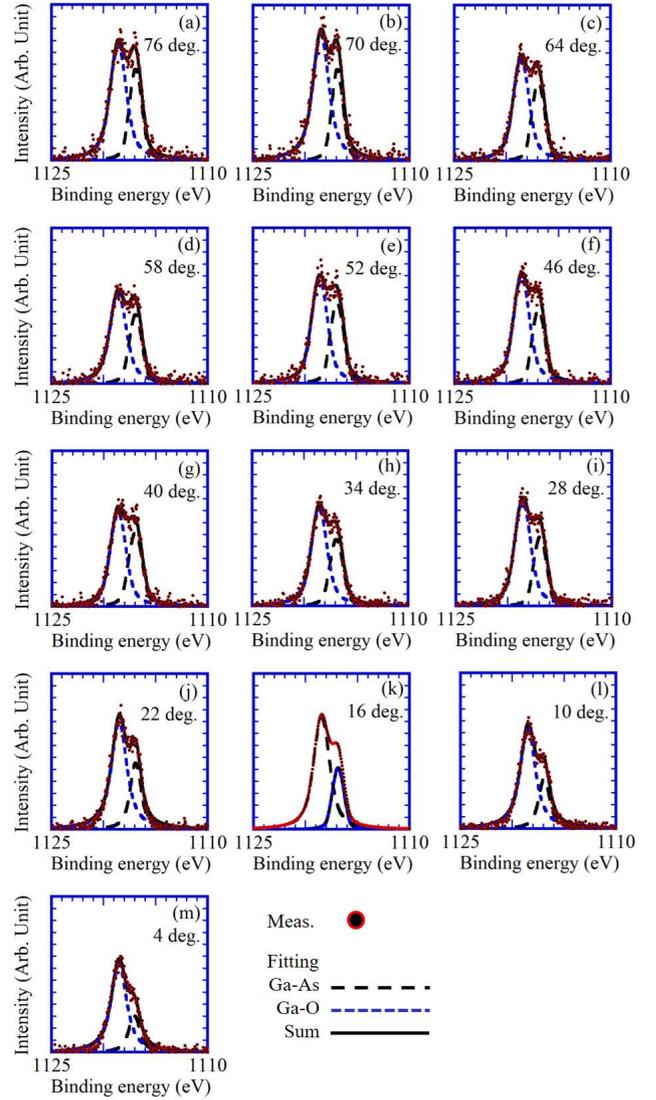
The angle-resolved Ga  $2p_{3/2}$  core spectra of the GaAs//Si interface after annealing for 1 hour are shown in Figs. 3(a)-3(m). The spectra for the 1-min. annealed GaAs//Si interface are shown in Figs. 4(a)-4(m). By means of the least square fit to a Voigt (Gaussian-Lorentzian) function with Shirley background, we find that each spectrum is composed of two peaks with binding energies of  $\approx 1116.9$  and  $1118.4$  eV, which are due to the Ga-As and Ga-O bonds, respectively [41, 42]. Results of fitting are also shown for the respective spectra.

The relationship between the relative intensity of Ga-As signal,  $\text{Ga-As}/(\text{Ga-As}+\text{Ga-O})$ , and  $\theta$  is shown in Fig. 5. Intersecting straight lines are eye guides of the angular dependence of  $\text{Ga-As}/(\text{Ga-As}+\text{Ga-O})$ . We find that for the 1-hour annealed interface,  $\text{Ga-As}/(\text{Ga-As}+\text{Ga-O})$  increases as  $\theta$  increases up to  $28^\circ$  (hereafter referred to as  $\theta_0$ ). It remains constant for  $\theta > \theta_0$ . For the 1-min. annealed interface,  $\text{Ga-As}/(\text{Ga-As}+\text{Ga-O})$  increases as  $\theta$  increases up to  $\theta_0 = 35^\circ$ . It remains constant for  $\theta > \theta_0$ .

$\text{Ga-As}/(\text{Ga-As}+\text{Ga-O})$  is  $\approx 0.4$ - $0.5$  and  $0.2$ - $0.4$  for the 1-hour annealed interface and 1-min. annealed interface, respectively, i.e., the Ga-As signal is more apparent in the 1-hour annealed interface. We have to note that there is likely to occur a difference in  $d_{\text{GaAs}}$



**Figure 3:** Angle-resolved Ga  $2p_{3/2}$  core spectra of  $\sim 15$ -nm GaAs//Si junctions annealed for 1 hour collected by HAXPES.  $\theta$  is (a)  $76^\circ$ , (b)  $70^\circ$ , (c)  $64^\circ$ , (d)  $58^\circ$ , (e)  $52^\circ$ , (f)  $46^\circ$ , (g)  $40^\circ$ , (h)  $34^\circ$ , (i)  $28^\circ$ , (j)  $22^\circ$ , (k)  $16^\circ$ , (l)  $10^\circ$ , and (m)  $4^\circ$ .



**Figure 4:** Angle-resolved Ga  $2p_{3/2}$  core spectra of  $\sim 15$ -nm GaAs//Si junctions annealed for 1 min. collected by HAXPES.  $\theta$  is the same as those for Fig. 3.

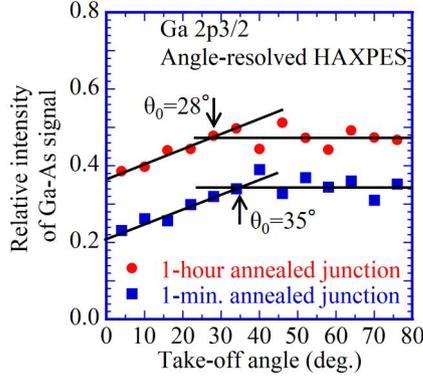
1 between the two junctions and such a difference should  
 2 also influence Ga-As/(Ga-As+Ga-O). An analysis on  
 3 the angular dependence of Ga-As/(Ga-As+Ga-O), dis-  
 4 cussed in the appendix, suggests that  $d_{\text{GaAs}}$  of the 1-  
 5 hour annealed junction is estimated to be 12.5 nm and  
 6 smaller than  $d_{\text{GaAs}}$  of the 1-min. annealed junction  
 7 (15.3 nm). A larger Ga-As/(Ga-As+Ga-O) is obtained  
 8 for the 1-hour annealed junction and the contribution  
 9 of annealing manifests itself more clearly by compen-  
 10 sating the difference in  $d_{\text{GaAs}}$  of the two junctions.

11 We observed ohmic features in  $I$ - $V$  characteristics  
 12 of  $n^+$ -GaAs// $n^+$ -Si junctions irrespective of the anneal-  
 13 ing condition. The junction resistance is summarized  
 14 in Fig. 6. We obtained lower resistances by annealing  
 15 at higher temperatures and for longer periods. A resis-  
 16 tance of as low as 1.6-2.1  $\text{m}\Omega\text{cm}^2$  was observed for junc-  
 17 tions annealed at  $300^\circ\text{C}$  for 1 hour. The obtained re-

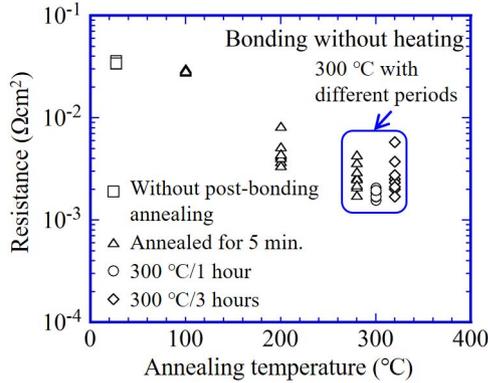
sistance is comparable to a resistance in GaAs//Si junc- 1  
 tion fabricated by SAB with substrate heating ( $3.6 \text{ m}\Omega\text{cm}^2$ ) 2  
 [24] and a resistance in a GaAs//grid metal/Si junction 3  
 ( $1\text{-}3 \text{ m}\Omega\text{cm}^2$ ) [43]. A higher resistance was observed 4  
 after annealing for a longer period (3 hours), which might 5  
 be due to the difference in thermal expansion coeffi- 6  
 cients between GaAs and Si. We also characterized 7  
 junctions that were fabricated by SAB with substrate 8  
 heating at  $200^\circ\text{C}$  and were subsequently annealed. The 9  
 lowest resistance was  $0.9\text{-}1.6 \text{ m}\Omega\text{cm}^2$ . (See Fig. S1 in 10  
 the supplementary material). 11

### 3.3. GaAs//Si 2J cells 12

13 Figure 7(a) compares the  $I$ - $V$  characteristics of SL-  
 14 etching based GaAs//Si 2J cells with those of 2J cells  
 15 fabricated by conventionally dissolving the GaAs sub-  
 16 strate after bonding. Values of parameters characteriz-  
 17 ing performances of these cells are summarized in Ta-



**Figure 5:** The dependencies of relative intensity of Ga-As signal, Ga-As/(Ga-As+Ga-O), in the Ga 2p<sub>3/2</sub> core spectra on the take-off angle.



**Figure 6:** The dependence of resistance of n<sup>+</sup>-GaAs/n<sup>+</sup>-Si junctions on the annealing condition.

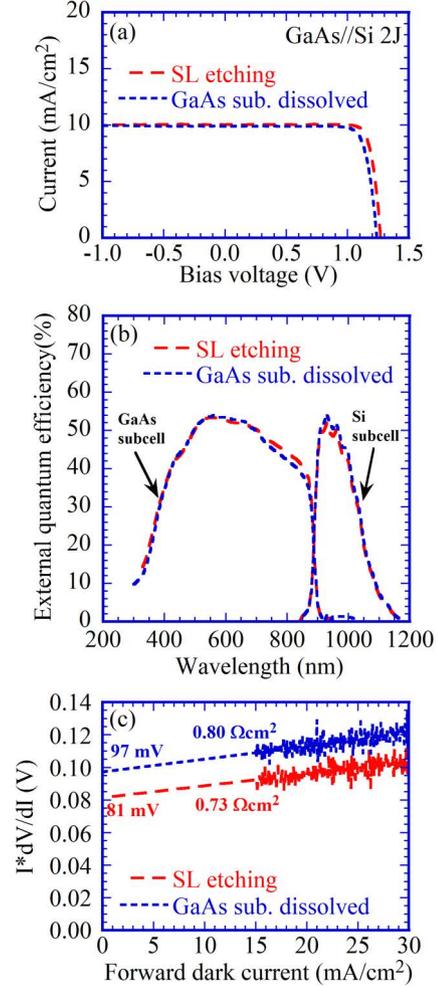
**Table 1**

Characteristics of GaAs//Si 2J cells.

	GaAs//Si 2J	
	SL etching	Dissolving GaAs sub.
Short-circuit current (mA/cm <sup>2</sup> )	10.0	9.9
Photocurrent generated in subcells (mA/cm <sup>2</sup> )		
GaAs subcell	16.4	15.7
Si subcell	3.6	3.8
Open-circuit voltage (V)	1.27	1.24
Efficiency (%)	10.7	10.1
Parasitic resistance (ohm-cm <sup>2</sup> )	0.73	0.80
Sum of ideality factors	3.1	3.7

1 ble 1. The short-circuit current and open-circuit voltage of the SL-etching based cells are 10.0 mA/cm<sup>2</sup> and  
 2 1.27 V. Those of the GaAs-substrate-dissolution based  
 3 cells are 9.9 mA/cm<sup>2</sup> and 1.24 V.

4 The external quantum efficiency (EQE) spectra of  
 5 these cells are compared in Fig. 7(b). The highest  
 6 EQE is ≈55% since the cells are not coated with anti-  
 7



**Figure 7:** (a)  $I$ - $V$  characteristics of GaAs//Si 2J cells fabricated by the SL etching and by dissolving the GaAs substrate. (b) EQE spectra of GaAs//Si 2J cells. (c) The relationship between  $I dV/dI$  and  $I$  extracted from the forward-bias characteristics of the respective cells in the dark.

1 reflection films. More importantly, we separately observe the contribution of each subcell in the EQE spectra of both of 2J cells, which indicates that these 2J cells work normally. The EQE of GaAs subcells are comparable to that of a GaAs 1J cell bonded to an n<sup>+</sup>-Si substrate (See Fig. S2(b) of the supplementary material). Photocurrents to be generated under the AM 1.5G/one sun solar irradiance in the respective subcells, which were estimated by integrating their EQE spectra, are shown in Table 1. The mismatch in currents between GaAs and Si subcells is observed. Photocurrents in GaAs subcells (15.7-16.4 mA/cm<sup>2</sup>) are close to a short-circuit current of the GaAs 1J cell (15.1 mA/cm<sup>2</sup> as is shown in Fig. S2(a)).

2 We extracted  $I dV/dI$  from the  $I$ - $V$  characteristics for forward-bias voltages in the dark. The relationship between  $I dV/dI$  and  $I$  is shown in Fig. 7(c). Using the standard model for pn diodes,  $I dV/dI$  for forward-bias  
 15  
 16  
 17  
 18

1 voltages is expressed as

$$I \frac{dV}{dI} = \frac{nkT}{q} + IR_p, \quad (2)$$

2 where  $n$  is the ideality factor.  $kT/q$  and  $R_p$  are the  
3 thermal voltage (26 mV at 300 K) and the parasitic  
4 series resistance, respectively [18]. In case of GaAs//Si  
5 2J cells, we obtain

$$I \frac{dV}{dI} = \frac{(n_{\text{GaAs}} + n_{\text{Si}})kT}{q} + IR_p, \quad (3)$$

6 where  $n_{\text{GaAs}} + n_{\text{Si}}$  is the sum of ideality factors of GaAs  
7 and Si subcells. Using this equation, the sum of ideality  
8 factors and the parasitic resistance of the respective  
9 2J cells are obtained. The results are also shown in  
10 Table 1. The sum of ideality factors is 3.1 and 3.7 for  
11 the SL-etching based 2J cell and the GaAs-substrate-  
12 dissolution based 2J cell, respectively. The obtained  
13 values fulfill the requirement that  $1 \leq n_{\text{GaAs}}, n_{\text{Si}} \leq 2$ .  
14 The parasitic resistance is 0.73 and 0.80  $\Omega\text{cm}^2$  for the  
15 SL-etching based 2J cell and the substrate-dissolution  
16 based 2J cell, respectively. These values of parasitic  
17 resistance of the 2J cells are  $\times 300 \sim 400$  higher than  
18 the resistance of annealed  $n^+$ -GaAs// $n^+$ -Si junctions  
19 (Fig. 6), which is attributable to finite thicknesses of  
20 heavily-doped bonding layers in 2J cells.

## 21 4. Discussion

22 Ga-O peaks in Ga 2p<sub>3/2</sub> core spectra in HAXPES  
23 are attributable to native oxides on the surface of GaAs  
24 layers and oxides on the GaAs//Si interfaces. Given  
25 that the contribution of the native oxides to HAXPES  
26 is likely to be insensitive to the period of 300-°C anneal-  
27 ing, the higher Ga-As/(Ga-As+Ga-O) of the junction  
28 annealed for a longer period (1 hour) indicates that ox-  
29 ides at the GaAs//Si interfaces got diminished, which  
30 suggests that the oxides at interfaces were decomposed  
31 and the oxygen atoms were diffused into GaAs layers.  
32 This view is likely to be justified by a reported expres-  
33 sion for the diffusion coefficient of oxygen in GaAs [44].  
34 The reduction of concentration of oxygen atoms after  
35 annealing was also reported for GaAs//InP bonding  
36 interfaces [3].

37 Noting that oxides are selectively etched in a HF-  
38 based solution, this result also provides an atomic-scale  
39 basis for the high bonding yield in annealed III-V//Si  
40 junctions after the SL etching. The lower electrical re-  
41 sistance across the interfaces is also due to the reduction  
42 of oxides at bonding interfaces.

43 We note that AFM and XPS analyses showed that  
44 Ra of exposed surfaces of separated GaAs substrate  
45 was close to that of epi-ready substrates and no symp-  
46 toms of possible deposits of AlF<sub>3</sub> complexes were ob-  
47 served on the surfaces. These findings suggest that sep-  
48 arated GaAs substrate could be reused for the epitaxial

1 growth. The result that the parasitic resistances of the  
2 two types of 2J cells were close to each other is also  
3 explained by the result of XPS analysis. The observed  
4 short-circuit currents and efficiencies of 2J cells, which  
5 are similar to each other, are comparable to those of  
6 GaAs//Si 2J cells fabricated using the smart stack [16].  
7 The obtained results, consequently, indicate that the  
8 SL etching in combination with the SAB is potentially  
9 promising for fabricating III-V based hybrid MJ cells  
10 and reusing the separated GaAs substrate.

11 We find that the SL-etching based 2J cell slightly  
12 outperforms the substrate-dissolution based 2J cell in  
13 terms of the open-circuit voltage, the ideality factor,  
14 and the parasitic resistance (Table 1). A mechanical  
15 stress that could be introduced during grinding GaAs  
16 substrates might deteriorate the properties of bonding  
17 interfaces of substrate-dissolution based 2J cells.

## 18 5. Conclusion

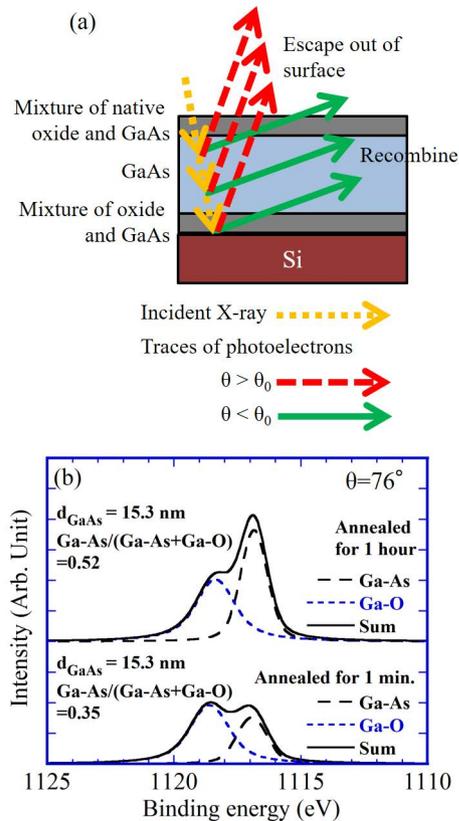
19 We explored the possibility of combining the SL  
20 etching and SAB for fabricating hybrid MJ cells while  
21 GaAs substrates for growing the upper-part subcells are  
22 reused. By annealing junctions with GaAs//Si bond-  
23 ing interfaces at low temperature (300 °C) for a long  
24 period (1 hour), a promising part ( $\sim 90\%$ ) of the III-V  
25 epi layers remained bonded to Si substrates after sep-  
26 arating the GaAs substrate. Effects of such low tem-  
27 perature annealing on the bonding interfaces were con-  
28 firmed by performing HAXPES analyses of the bond-  
29 ing interfaces and measuring their electrical properties.  
30 The results of AFM and XPS observations of the ex-  
31 posed surfaces of separated GaAs substrates suggested  
32 that the separated GaAs substrates can be reused for  
33 epitaxial growth. We fabricated GaAs//Si 2J cells us-  
34 ing the SL etching. Their characteristics almost agreed  
35 with those of cells fabricated by means of the conven-  
36 tional process sequence in which the GaAs substrates  
37 were dissolved after bonding.

## 38 Acknowledgements

39 GaAs epi substrates used in this work were grown at  
40 Sharp Corporation. HAXPES analyses were performed  
41 at BL47XU of SPring-8 (2017A1005, 2017B1311). This  
42 work is based on results obtained from a project com-  
43 missioned by the New Energy and Industrial Technol-  
44 ogy Development Organization (NEDO), Japan.

## 45 A. Adjustment of thicknesses of GaAs 46 layers in GaAs//Si junctions for 47 HAXPES analyses

48 We assume that the bonded GaAs film in samples  
49 for HAXPES analyses are composed of (i) a mixture of  
50 native oxide and GaAs on the surface, (ii) a pure GaAs  
51 layer, and (iii) a mixture of oxide and GaAs at the  
52 bonding interface. Noting that the HAXPES signals



**Figure A.1:** (a) Schematic behaviors of photoelectrons in GaAs film//Si junctions for  $\theta > \theta_0$  and for  $\theta < \theta_0$ . (b) A Ga 2p<sub>3/2</sub> core spectrum at  $\theta = 76^\circ$  calculated for the 1-hour annealed GaAs//Si junction with  $d_{\text{GaAs}}=15.3$  nm and a result of fitting to the spectrum for the 1-min. annealed junction.

to be enhanced by a factor of  $\sin(35^\circ)/\sin(28^\circ) = 1.22$  at  $\theta > \theta_0$  while the signal due to Ga-O bonds is unchanged. The Ga2p<sub>3/2</sub> spectrum of the 1-hour annealed junction for  $\theta = 76^\circ$  was calculated for  $d_{\text{GaAs}} = 15.3$  nm. The obtained core spectrum is compared with a fit to the as-measured spectrum for the 1-min. annealed interface in Fig. A.1(b). At this take-off angle, Ga-As/(Ga-As+Ga-O) is 0.52 for the 1-hour annealed interface with the adjusted  $d_{\text{GaAs}}$ , which is larger than the result for the 1-min. annealed interface (0.35). The observed difference in Ga-As/(Ga-As+Ga-O) reveals the intrinsic effects of annealing on the HAXPES spectra.

## B. Supplementary material

Supplementary material associated with this article is provided.

## CRediT authorship contribution statement

**Naoteru Shigekawa:** Conceptualization of this study, Writing- Original draft preparation, Project administration, Supervision. **Ryo Kozono:** Investigation, Formal analysis. **Sanji Yoon:** Methodology, Investigation, Formal analysis. **Tomoya Hara:** Investigation, Formal analysis. **Jianbo Liang:** Methodology, Resources. **Akira Yasui:** Resources.

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## Supplementary material

### Effects of post bonding annealing on GaAs//Si bonding interfaces and its application for sacrificial-layer-etching based multijunction solar cells

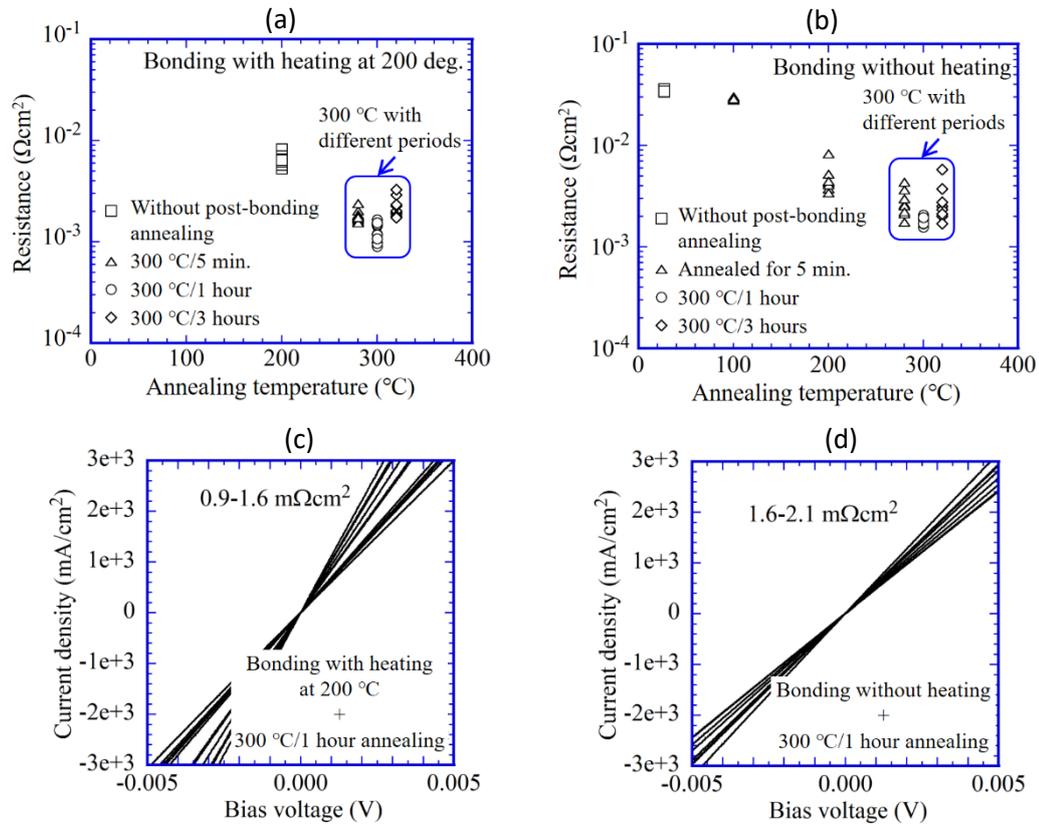
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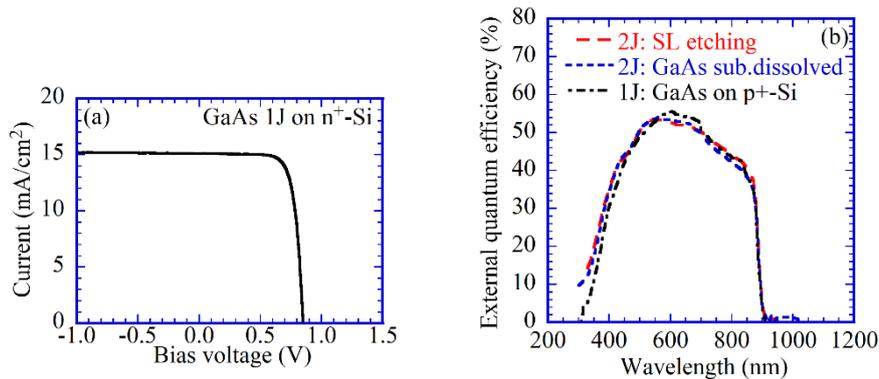
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**Effects of post-bonding annealing on resistance across n<sup>+</sup>-GaAs/n<sup>+</sup>-Si interfaces fabricated by SAB with substrate heating at 200 °C**



**Figure S1:** Relationship between annealing temperature/period and resistance of (a) n<sup>+</sup>-GaAs/n<sup>+</sup>-Si junctions fabricated by SAB with substrate heating at 200 °C and (b) junctions fabricated by SAB without substrate heating. (b) is the same as Fig. 6. Although the data are scattered, the lowest resistance in (a) (0.9~1.6  $\text{m}\Omega\text{cm}^2$ ) is slightly smaller than that in (b) (1.6~2.1  $\text{m}\Omega\text{cm}^2$ ). I-V characteristics of the two types of junctions annealed at 300 °C for 1 hour are shown in (c) and (d) for reference.

**Characteristics of 1J GaAs cell bonded to n<sup>+</sup>-Si substrate fabricated using the SL-etching approach.**



**Figure S2:** (a) I-V characteristics under the AM1.5G/one sun solar irradiance and (b) EQE spectra of a 1J GaAs cell/n<sup>+</sup>-Si substrate fabricated using the SL etching. EQE of GaAs subcell of two 2J (Fig. 7(b)) is also shown in (b) for comparison. The short-circuit current of GaAs 1J cell (15.1  $\text{mA}/\text{cm}^2$  from (a)) is comparable to the integration of EQE of GaAs subcells in GaAs/Si 2J (Table 1). EQE of GaAs 1J is quite similar to that of GaAs subcells in 2J.